

#### Greetings!

Thank you for your interest in Laurel Wealth Planning LLC.

For your initial meeting, whether it's in person or virtual, we ask that you please bring or upload the following information, if convenient:

- Financial overview form that follows this letter
- One recent tax return
- Investment statements
- Information on work benefits on which you have questions
- Social Security Administration statements

We are conveniently located in Edina, just off 494 and France Avenue. We are in a large office complex with many entrances. To bring you right to our door, we have developed detailed instructions to complement your GPS navigation. They are at the end of this document.

You can get more information about our firm, including financial advisor biographies, at our website, laurelwealthplanning.com. To assist you in interviewing any financial advisors, consider this list of questions.

Please do call us at 952-854-6250 with any questions.

We very much look forward to getting to know you.

Sincerely,

Laurel Wealth Planning's wealth managers and client service team



Communication is a key element to financial planning. The more we know about your financial situation, goals, and opportunities, the better we can serve you and help you make these goals a reality.

Please take some time to complete this form. Before you start, it would be helpful to gather your tax returns and information on your financial accounts. Don't panic if you don't have everything. Make your best guess, if necessary.

## If you have this information in another form, please feel free to provide us that instead.

Relax. This isn't an endurance test. If you don't have everything completed by the time of our next appointment, we understand.

Name:			Spouse/Partner's Name:			
Date of Birth:		-		Date of Birth:		
Employer:				Employer:		
Home Phone: Business Phone Cell Phone:	<u>:</u>			Business Pho Cell Phone:	ne:	
Email address:				Email address	S:	
Street:						
City:						_
State:		_	Zip Code	:		_
Marital Status:	Single	Married	Partnered	Separated	Widow/Widower	
Length of Marriage	·/Partnership	):				
Are you anticipatin	g any lifesty	e changes? (N	Marriage, divorce	e, retirement, mo	oving, etc.) Yes No	Unsure
At what age would	vou like to b	e able to retire	۵?			



Children	Age	Birth Date	Special Needs?

Grandchildren	Parent Name(s)	Age	Birth Date

	Yes	No	Unsure
Are you dissatisfied with your current cash flow?			
Do you have any assets you wish you didn't have?			
Are you dissatisfied with the return on your investment portfolio?			
Do you have an investment advisor?			
Do you have any investments that you are not interested in owning?			
Does the economy play a role in how you make investment decisions?			



# **INCOME**

	You			pouse/ tner
	Before tax	Take home	Before tax	Take home
Base salary or wages				
Bonus/other				
Current social security benefits				
Current pension income				
Child support received				
Spousal maintenance (alimony) received				

# **FUTURE INCOME**

## **Future pensions**

Description	Amount	Approximate starting age

# **Social Security benefits**

If you have them handy, please provide the most recent statements you've received from the Social Security Administration.



# **ASSETS**

If you have your asset and liability data in another form, please feel free to provide that instead. Please attach pages or use back if needed.

# Checking, savings, money market, CDs

Bank or Company	Balance

## Stocks, mutual funds, bonds, annuities

Description	Approximate Market Value



# Retirement assets (IRA, 401(k), etc.)

Description	Approximate Market Value

# Real estate, business

Description	Approximate Market Value

ADVISORS	
CPA	
Attornev	



# LIABILITIES

Mortgage, auto loan, credit cards, etc.

Type of Debt	Current Balance	Interest Rate	Payoff Date

# **INSURANCES**

We do not sell insurance but are happy to consult with you in this area. Please check whether you have the following:

Disability Income Coverage: Yes No

Long-term Care Coverage: Yes No

Umbrella Insurance: Yes No

Long-Life Insurance: Yes No



#### Welcome!

Our office is located near Interstate 494 between France & Penn Avenues.

**Our complex is large -** We recommend these **simple directions** and we look forward to seeing you!

- Interstate 494 to France Avenue (Exit 6B).
- North on France Avenue go less than ¼ mile.
- Right on Minnesota Drive (at Fuddrucker's) –
  Go about ¼ mile.
- At "T" intersection, right on Edinborough Way.
- Look for the second left, enter, and turn right into parking.



3300 Edinborough Corporate Center



### To Surface Parking:

In the parking lot, drive forward about 4
 parking rows. Turn left and look for "3300
 Edinborough Corporate Center." Take any
 available parking spot.

### To Covered Parking:

- In the parking lot, continue forward toward outside corner of lot.
- Near outside corner, take a sharp U-turn down a small ramp.
- Covered parked is reserved for you! Ignore "permit required sign."
- On entering the underground parking area, take first right and drive forward to "3300 Edinborough Corporate Center." Take any available parking spot.